

# Early Vocal Development in Autism Spectrum Disorder, Rett Syndrome, and Fragile X Syndrome: Insights from Studies Using Retrospective Video Analysis

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## Abstract

This article provides an overview of studies assessing the early vocalisations of children with autism spectrum disorder (ASD), Rett syndrome (RTT), and fragile X syndrome (FXS) using retrospective video analysis (RVA) during the first 2 years of life. Electronic databases were systematically searched and a total of 23 studies were selected. These studies were then categorised according to whether children were later diagnosed with ASD (13 studies), RTT (8 studies), or FXS (2 studies) and then described in terms of (a) participant characteristics, (b) control group characteristics, (c) video footage, (d) behaviours analysed, and (e) main findings. This overview supports the use of RVA in analysing the early development of vocalisations in children later diagnosed with ASD, RTT, or FXS and provides an in-depth analysis of vocalisation presentation, complex vocalisation production, and the rate and/or frequency of vocalisation production across the three disorders. Implications are discussed in terms of extending crude vocal analyses to more precise methods that might provide more powerful means by which to discriminate between disorders during early development. A greater understanding of the early manifestation of these disorders may then lead to improvements in earlier detection.

**Keywords** Vocalisation analysis · Autism spectrum disorder · Rett syndrome · Fragile X syndrome · Retrospective video analysis · Babbling · Speech-language · Early detection

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## Introduction

A critical achievement in the early stages of neurotypical development is the emergence of pre-linguistic vocalisations which form the basis for functional human communication. Atypicalities in these early vocalisations are indicators of altered development, which can be analysed to detect neurodevelopmental disabilities (e.g. Marschik et al. 2017; Oller et al. 1999; Zwaigenbaum et al. 2013). In typical infants, pre-linguistic vocalisations emerge during the first months of life and continually progress and change in appearance, frequency, complexity, and variability. Milestones during the first year of life include the transition from cooing to babbling and proto-words to first conventional words (Karmiloff and Karmiloff-Smith 2009; Locke 1995; Marschik et al. 2007; McCune and Vihman 2001; Stark 1980; Tager-Flusberg and Caronna 2007). The age at which early pre-linguistic vocalisations appear is considered significant to the development of typical speech-language functions and has been extensively studied (e.g. McCune and Vihman 2001; Oller 1980; Stark 1980), whereas the quality of early vocal development has received less attention thus far (Marschik et al. 2017). Particular features of vocal development across neurodevelopmental and genetic disorders with a clinical onset at or beyond toddlerhood might offer valuable insight into the manifestation of these disorders during infancy. A greater understanding might then enable earlier detection, differentiation, and treatment of these disorders (Marschik et al. 2017).

The vocal behaviour of infants during the second half of the first year of life (6 to 12 months) involves the rapid expansion in the articulation of vocal sounds. From approximately 6 to 10 months of age, vocalisations like cooing (which typically emerge prior to 6 months) gradually disappear and early marginal-babbling sets in, followed by additional and more complex canonical syllable and babbling sounds (McCune and Vihman 2001; Oller et al. 1999; Paul et al. 2011; Stark 1980). Canonical syllables can be defined as supra-glottal consonant- (C) vowel- (V) combinations with adult-speech-like timing (Oller 1980; Oller et al. 1999; Stark 1980), for example, /ba/, /da/, or /ka/ as CV realisations. Canonical or reduplicated babbling is the repetition of CV syllables to form a dialogue or string of early word-like approximations, for example, /baba/, /dada/, and /mama/ (Fagan 2009; Oller et al. 1999; Stark 1980). As expressive language is composed of canonical syllables, it is critical that one obtains control over using and producing canonical syllables to later acquire proficiency in verbal language (Oller et al. 1999; Paul et al. 2011; Patten et al. 2014). From approximately 10 to 12 months of age, infants' vocal behaviour evolves from canonical and variegated babbling (e.g. /dagubab/) to the emergence of proto-words, defined as having a consistent phonetical structure but not necessarily the target language's convention yet (Karmiloff and Karmiloff-Smith 2009; Locke 1995; McCune

and Vihman 2001; Oller et al. 1999; Tager-Flusberg and Caronna 2007). Thus, the emergence of specific syllable production and more complex babbling displays are considered significant milestones in speech-language acquisition in the first year of life and provide further insight into both typical and atypical developmental traits (Chericoni et al. 2016; Oller et al. 1999; Patten et al. 2014; Paul et al. 2011; Stark 1980; Tager-Flusberg and Caronna 2007).

Particular neurodevelopmental and genetic conditions, such as autism spectrum disorder (ASD), Rett syndrome (RTT), and fragile X syndrome (FXS), share deviances in early vocalisation and speech-language development. These conditions are often formally diagnosed late in toddlerhood, during the pre-school years, or older in milder or atypical cases. There is emerging evidence suggesting that identification of developmental biomarkers is in reach, enabling detection of these conditions earlier in development, particularly within the first 2 years.

ASD is a neurodevelopmental disorder characterised by persistent impairment in social communication skills and the presence of restricted and repetitive behaviours (DSM-5: Diagnostic Statistical Manual Fifth edition, American Psychiatric Association 2013), with a currently estimated prevalence of 1.5% (CDC: Centers for Disease Control and Prevention 2016). The specific causes driving the atypical neurodevelopment of ASD remain poorly understood. Genome sequencing data suggest the existence of numerous ASD-related and risk genes, both rare and common, interacting with environmental risks (Vorstman et al. 2017). Currently, ASD is formally diagnosed around 3 to 4 years old (CDC 2016; Dawson and Bernier 2013). To accurately identify ASD, and other neurodevelopmental disorders earlier, developmental trajectories have been studied using several paradigms: first, retrospectively during the first 2 years of life (i.e. during the pre-diagnostic period) by analysing early vocalisations, early motor development, sensorimotor processing, and interactive behaviours (Baranek 1999; Chericoni et al. 2016; Einspieler et al. 2014; Oller et al. 1999; Ozonoff et al. 2011; Paul et al. 2011; Zwaigenbaum et al. 2013). Secondly, and more recently, prospective studies have been adopted to assess the development of younger siblings of children diagnosed with ASD over time. These cases are informative in that younger siblings have an increased likelihood of receiving a diagnosis of ASD due to shared genetic risks (e.g. Bölte et al. 2013; Charman et al. 2017; Loth et al. 2017; Ozonoff et al. 2011; Paul et al. 2011; Zwaigenbaum et al. 2009). Prospective studies have identified several early behavioural signs of ASD during the first year of life including atypical visual fixation and engagement and delays in the complexity of early vocalisations (Chericoni et al. 2016; Patten et al. 2014). Reliably identifying these early signs prior to the current typical age of diagnosis, which is still often after early childhood (Costanzo et al. 2015), might

enable earlier detection and provide opportunities for targeted intervention to improve developmental outcomes for these children (Bölte et al. 2016; Green et al. 2017; Spreckley and Boyd 2009).

Atypicalities in the emergence of early vocalisations are not specific to ASD. They are also characteristic of a number of other neurodevelopmental disabilities. In particular, impairments in the quality and delays in the progression of early vocalisations and communication skills are among the earliest indicators to raise suspicion of RTT and FXS (e.g. Baranek et al. 2005; Belardi et al. 2017; Marschik and Einspieler 2011; Marschik et al. 2009; Zhang et al. 2017). There is a growing consensus that assessing the prodromal period of these other disorders may lead not only to a better understanding of how these disorders manifest during infancy, and therefore increase opportunities for earlier detection, but also their differentiation from ASD (Jones et al. 2014; Marschik et al. 2017).

RTT is a neurodevelopmental disorder that primarily results from a genetic mutation in the *MECP2* gene, estimated to affect 1/10,000 live female births (Amir et al. 1999; Neul et al. 2010). It has long been believed that RTT manifests in infants following an initial period of supposed typical development. The growing body of knowledge regarding the early development of RTT however suggests that atypicalities are already present within the first months of life (e.g. Einspieler et al. 2016; Einspieler et al. 2005a, b; Leonard and Bower 1998; Marschik et al. 2013a, b). With increasing age, children with RTT experience a regression period resulting in the loss of purposeful hand use, severe intellectual disability, severe physical deficits, atypical breathing patterns, and a significant loss of functional communication skills. A plateau stage is then reached where no further skill loss is observed (Hagberg and Anvret 1993; Hagberg and Witt-Engerström 1987; Neul et al. 2010). Variants of RTT exist where children share many of the diagnostic features of RTT, such as regression, yet do not share all of the features associated with a diagnosis of classic RTT (Neul et al. 2010). For example, children who re-gain some spoken communication skills following regression, and demonstrate a wider range of non-verbal and verbal skills in comparison to those with classic RTT, are categorised as having the preserved speech variant (PSV) of RTT (Neul et al. 2010; Renieri et al. 2009). Current literature demonstrates that early expressive communication, such as pre-linguistic vocalisations, has been identified as atypical or severely delayed already during the pre-regression period encompassing the first year or sometimes the first 2 years of life (Bartl-Pokorny et al. 2013; Marschik et al. 2013a, b). Evidence of these atypicalities further supports the argument that development in these children does not progress in a typical nature during the pre-regressional stage (e.g. Marschik et al. 2009, 2013a). Accurate and reliable methods of identifying atypicalities in early vocalisations may provide opportunities for earlier detection and further

delineate the early progression of this syndrome (Marschik et al. 2017; Pokorny et al. 2016).

FXS is a genetic disorder that often results from the excessive repeat of a trinucleotide CGG at the Xq 27.3 site on the fragile X mental retardation gene 1 (*FMR1*) (Crawford et al. 2001; Hagerman 2002). FXS is the leading cause of inherited intellectual disability in males and the full mutation, where there are over 200 repeats of the trinucleotide CGG, is estimated to affect approximately 1/4000 males and 1/8000 females (Bailey et al. 1998, 2009). The result of this genetic mutation leads to a deficiency, or total absence, of the FMR1 protein which is critical for typical brain development and function (Crawford et al. 2001; Hagerman 2002). The reduction or total loss of this protein causes severe deficits in motor skills, cognitive capabilities, and communication development (Bailey et al., 1998). Consistent with ASD and RTT, individuals with FXS display atypicalities in the development of vocalisations and later profound communication deficits, in that functional or meaningful verbal language is often unobtainable (Bailey et al. 1998; Baranek et al. 2005; Hagerman 2002; Hinton et al. 2013).

Various analysis approaches, particularly the abovementioned prospective high-risk sibling approach, have advantages in identifying markers of atypicalities in early development in comparison to retrospective analysis (see Marschik et al. 2017; Zwaigenbaum et al. 2013). However, prospective analysis is limited in its application to rare disorders that are usually detected late, such as RTT or FXS (e.g. Bailey et al. 2009; Tarquinio et al. 2015). In girls with RTT for example, a prospective analysis and cohort is almost impossible to obtain (Marschik et al. 2017) due to the main cause of RTT by de novo mutations in the *MECP2* gene. Disorders such as RTT and FXS are also less prevalent than ASD and are diagnosed similarly in late toddlerhood or during the preschool years. The parents of children with neurodevelopmental disorders however often report concerns in early deficits or delays in their child's speech as one initial indication that something was unusual during their child's early development. These concerns are generally recognised at an earlier age than that of formal diagnosis (Bailey et al. 2009; Zhang et al. 2017). Despite this, parents are less accurate in identifying specific forms of pre-linguistic vocalisations (Paul et al. 2011). Thus, the search for effective tools to reliably screen for these early atypical behaviours and communication deficits is warranted, to provide detailed and precise indicators so that children with these neurodevelopmental disorders are detected at an earlier age (Costanzo et al. 2015; Marschik et al. 2017; Paul et al. 2011; Zhang et al. 2017; Zwaigenbaum et al. 2013).

Retrospective video analysis (RVA) has proved a valuable tool in the process of reliably documenting specific behaviours and deviances or atypicalities presented by infants in the early years of life (Costanzo et al. 2015; Palomo et al.



2006; Zwaigenbaum et al. 2013). In particular, the early vocalisations of infants who were later diagnosed with ASD or RTT have been analysed to evaluate early canonical babbling frequency and the rate of total vocalising behaviours (Chericoni et al. 2016; Marschik et al. 2012a; Patten et al. 2014; Pokorny et al. 2016; Werner and Dawson 2005). Studies adopting RVA have employed the use of home video tapes to annotate and evaluate various settings and interactive situations involving the infant and additional contextual variables. For example, many studies have analysed audio-video material during familiar home routines like bathing, feeding, and during special events such as birthdays (e.g. Chericoni et al. 2016; Patten et al. 2014; Werner and Dawson 2005).

This overview of current literature attempts to highlight the appropriateness of RVA as a research tool to map the vocal development of infants during the first 2 years of life, who are later diagnosed with ASD, RTT, or FXS. Specifically, this article summarises the findings of selected studies into participant characteristics, control group characteristics, video footage used, behaviours analysed, and the general findings. The general findings are segmented into three categories: (a) vocalisations that includes the presence of pre-linguistic behaviours for communicative functions, vocal imitation, person directed vocalisations, semantically meaningful vocalisations, contingent vocalisations during an interaction, and the presence of vocalisations that are unspecified or unmodulated, (b) the complexity of vocalisations including canonical syllable production, canonical babbling, variegated babbling, proto-words, first words, and word combinations, and (c) the rate or frequency of vocalisations (volubility) and the specific report of complex babble, first words, and word combinations. The overall findings and comparable aspects of vocal development across the three conditions are then discussed.

## Method

### Search Terms

In December 2016, the following terms were searched by the first author in online, open access search engines: ERIC, ProQuest, Google Scholar, and PubMed. The search terms included *home video analysis AND retrospective analysis* with each of the following: *ASD AND early vocalisations*, *Rett syndrome AND early vocalisations*, and *fragile X syndrome AND early vocalisations*. From these results, the identified titles of the articles and abstracts were initially screened against the inclusion criteria presented below. Additionally, the authors and co-authors of accepted studies were searched using the abovementioned search engines to further identify any remaining studies that may have been missed. Twenty-one articles were initially included. Three studies were

removed from the original sample as these studies were identified as (a) lacking a specific focus on vocal behaviours and (b) containing video data with poor audio quality (as stated in the paper, only half of the videos had audio able to be analysed). A second search run, identical to that performed earlier by the first author, was conducted in July 2017 to further identify any additional studies. Five papers were included following the second search cycle; thus, 23 studies were included within the final review.

### Inclusion Criteria

Studies which retrospectively analysed the home videos of the early spontaneous or communicative (e.g. cooing, babbling) vocalisations of children with either ASD, RTT, or FXS during the first 2 years of life, with or without a typically developing control group, were included in this overview. More specifically, studies were screened for (a) the inclusion of retrospective video analysis, (b) a focus on the early vocal behaviour of infants during the first 2 years of life, (c) infants that were later diagnosed with ASD, RTT, or FXS, (d) published within peer-reviewed academic journals or were published as peer-reviewed conference proceedings, (e) were written after 1990 to ensure videos were of an adequate quality for precise analysis, and (f) were written in English.

### Analysis

The three conditions targeted in this overview are separated into three tables describing the selected studies focusing on the early vocalisations of children with ASD (Table 1), RTT (Table 2), and FXS (Table 3). Thirteen studies were included for ASD, eight focused on RTT, and two studies analysed the vocal behaviours of infants later diagnosed with FXS. Studies are organised according to year of publication and tables include information regarding the target and control participant characteristics, the age range analysed, the specific types of vocal behaviours which were coded, and the main findings in terms of vocalisation presentation, the complexity of vocalisations, the rate/frequency of vocal production, and the inter-rater reliability scores.

## Results

Tables 1, 2, and 3 provide summaries of the studies adopting RVA to assess the vocalisations of children later diagnosed with ASD, RTT, or FXS, respectively.

### Participant Characteristics

Of the 13 studies which analysed infants who later received a diagnosis of ASD, a total of 290 participants' early vocal



**Table 1** An overview of studies analysing the early vocal behaviours of infants later diagnosed with autism spectrum disorder

Authors	Participants (M/F)	Control group (M/F)	Age range (in months)	Behaviours analysed	Results
Eriksson and de Chateau (1992)	1 (0/1)	—	0–24	Simple and complex babbling Words	<ul style="list-style-type: none"> <li>From birth to 13 months, high frequencies of babbling. From 13 months onwards, loss of babbling, no word production</li> <li>All videos were double coded, IRR not reported</li> </ul>
Mars et al. (1998)	ASD = 10 (—/—) PDD-NOS = 15 (—/—)	25 (—/—)	12–30	Vocalisations One or more words Vocal imitation	<ul style="list-style-type: none"> <li>Imitating vocalisations was significantly observed less frequently in children with ASD compared to controls</li> <li>The expression of one or more words was significantly observed less frequently in children with ASD compared to controls</li> <li>IRR was reported as 88%</li> </ul>
Werner et al. (2000)	ASD = 8 (—/—) PDD-NOS = 7 (—/—)	15 (—/—)	8–10	Vowel sounds Canonical syllables	<ul style="list-style-type: none"> <li>The production of vowel sounds per minute was observed less frequently in ASD group compared to controls, canonical syllables was higher in frequency per minute for ASD group than controls (although not statistically different)</li> <li>All videos were double coded, <math>k = 0.68–0.91</math></li> </ul>
Maestro et al. (2001)	15 (10/5)	15 (11/4)	T1 = 0–6 T2 = 6–12 T3 = 12–18 T4 = 18–24	Social behaviour (vocalise towards another person) Inter-subjectivity (vocal imitation) Symbolic (semantically meaningful vocalisations)	<ul style="list-style-type: none"> <li>During T4, significant difference in semantically meaningful vocalisations compared to controls</li> <li>The presence/absence of vocalisations was discussed</li> </ul>
Maestro et al. (2002)	15 (10/5) ASD = 7 PPD-NOS = 8	15 (9/6)	0–6	Vocalisations towards object/person Sounds towards object/person Babbling towards object/person	<ul style="list-style-type: none"> <li>Vocalising to people (but not objects) was observed significantly less in ASD group compared to controls</li> <li>Observers were trained to reach 80% agreement on vocal behaviour analysis</li> </ul>
Osterling et al. (2002)	20 (18/2)	ID-14 TD-20	12	Vocalisations Babbling	<ul style="list-style-type: none"> <li>Could distinguish between infants with ASD from those of the TD and ID groups based on differences in frequency of babbling</li> <li>ICC reported as <math>&gt; 0.75</math></li> </ul>
Maestro et al. (2005)	15 (11/4)	13 (5/8)	T1 = 0–6 T2 = 6–12	Vocalisations towards object/person Sounds towards object/person Babbling towards object/person	<ul style="list-style-type: none"> <li>Vocalising to people was observed significantly less for the ASD group compared to controls at T1 but not at T2</li> <li>Observers were trained to reach 80% agreement on vocal behaviour analysis</li> </ul>
Receveur et al. (2005)	18 (13/5)	—	10–12 16–18	Imitation of sounds, words, and sentences Echolalia	<ul style="list-style-type: none"> <li>No significant differences found according to group membership</li> <li>The weighted <math>k</math> (Kw) across the two time periods ranged from 0.82 to 0.87</li> </ul>
Werner and Dawson (2005)	36 (33/3) ASD regression = 15 Early-onset ASD = 21	20 (16/4)	T1 = 12 T2 = 24	T1: frequency of simple and complex babbling and frequency of words T2: frequency of complex babbling, frequency of single words, or word combinations	<ul style="list-style-type: none"> <li>At T1, significant differences observed for ASD group in the frequency of complex babbling and words compared to controls. At T2, significantly lower frequency for ASD group in complex babbling, single words, and word combinations compared to controls</li> <li>All videos were double coded. ICC for frequency codes: at 12 months (0.81–0.91), at 24 months (0.76–0.87). Kappa coefficient scores for duration codes: at 12 months (0.71–0.97) at 24 months (0.69–0.90)</li> </ul>
Brisson et al. (2014)	13 (11/2)	13 (9/4)	0–6	Vocalisations produced during an interaction with mother: Modulated vocal productions (inflection contours) Vocal duration Vocal pitch	<ul style="list-style-type: none"> <li>Infants later diagnosed with ASD produced significantly fewer complex contours (with fewer than two melodic modulations) than controls and more frequently produced simple vocalisations (simple inflection contours)</li> <li>No significant differences were observed in other prosodic elements, such as pitch or utterance duration</li> <li>All videos were double coded, ICC for pitch = 0.69, pitch contour = 0.94, and duration = 0.99</li> </ul>
	23 (19/4)		T1 = 9–12	Canonical syllables/babbling	

**Table 1** (continued)

Authors	Participants (M/F)	Control group (M/F)	Age range (in months)	Behaviours analysed	Results
Patten et al. (2014)		14 (11/3)	T2 = 15–18	Volubility Canonical babbling ratio	<ul style="list-style-type: none"> <li>• ASD children (during both age ranges) produced significantly lower canonical babbling ratios compared with TD children</li> <li>• ASD infants (during both age ranges) displayed significantly lower volubility compared to the TD children</li> <li>• ICC reported as &gt; 0.75</li> </ul>
Zappella et al. (2015)	10 (10/0)	—	T1 = 1–2 T2 = 3–4 T3 = 5–6	Crying Fussing Unspecified vocalisations Cooing Pleasure vocalisations/laughter	<ul style="list-style-type: none"> <li>• 7 children displayed age-specific vocalisations, especially cooing and pleasure vocalisations, 3/10 did not demonstrate cooing and had unspecified hardly modulated vocalisations</li> <li>• All videos independently scored, <math>k = 0.69</math></li> </ul>
Chericoni et al. (2016)	10 (9/1)	10 (8/2)	T1 = 0–6 T2 = 6–12 T3 = 12–18	Vocalisations Long reduplicated babbling Two-syllable babbling First words	<ul style="list-style-type: none"> <li>• At T2, significantly fewer vocalisations observed in ASD group vs. TD group, significant decrease in vocalising from T1–T2 in ASD group</li> <li>• No significant differences in the rates per minute of babbling, significantly fewer first words produced in ASD participants than controls at T3</li> <li>• All variables double coded, <math>k</math> reported as &gt; 0.70</li> </ul>

ICC intra-class coefficients,  $k$  kappa coefficient

behaviours were investigated using RVA. Not all of the studies provided details regarding the gender of the participants or the control group members (Mars et al. 1998; Werner et al. 2000). Thus, of the 11 studies noting the genders, 161 were male and 34 were female in the ASD groups, and 103 male and 37 females were included in the comparison groups. In all but two of the studies (Osterling et al. 2002; Zappella et al. 2015) typically developing children served as comparison groups to the ASD participants (see Table 1). The majority of these studies recorded the participants later received a diagnosis of ASD; however, several studies highlighted participants who received an early-onset diagnosis of ASD or displayed regressive symptoms (Werner and Dawson 2005) or distinguished between a diagnosis of ASD and PDD-NOS (Maestro et al. 2002; Mars et al. 1998). Vocalisations during the first year of life were analysed in six studies (Brisson et al. 2014; Maestro et al. 2002; Maestro et al. 2005; Osterling et al. 2002; Werner et al. 2000; Zappella et al. 2015). Receveur et al. (2005) analysed children from 10 to 12 months and then 16–18 months old. Two studies analysed vocalisations during the second year of life (Mars et al. 1998; Werner and Dawson 2005) with the remaining four studies analysing vocalisations across the whole 24 months (Chericoni et al. 2016; Eriksson and de Chateau 1992; Maestro et al. 2001; Patten et al. 2014). Of the eight studies which analysed infants who later received a diagnosis of RTT, a total of 40 participants' early vocal behaviours were investigated using RVA (see Table 2). Comparative typically developing females were used in two of the studies (Marschik et al. 2013b; Pokorny

et al. 2016). Analyses focused on the first year of life (Marschik et al. 2009), from 6 to 12 months (Pokorny et al. 2016), from 9 to 12 months (Bartl-Pokorny et al. 2013), 9 to 24 months (Marschik et al. 2013b), the second year of life (Marschik et al. 2012b), and the first 2 years of life (Marschik et al. 2012a, 2013a, 2014a). Of the two studies which analysed infants who later received a diagnosis of FXS, a total of 17 participants' early vocal behaviours were investigated using RVA (see Table 3). Three females and 14 males with FXS were included in these studies with one study adopting nine males and three females as typically developing comparisons (Belardi et al. 2017). Both studies focused on the time period from 9 to 12 months of age (Marschik et al. 2014b; Belardi et al. 2017).

### Video Footage

All studies included within this overview adopted a retrospective analysis of home videos provided by the families of the children involved. These criteria ensured that no participant had received a diagnosis prior to the recording of the video footage; thus, all videos captured a time in the infant's life during which the parents were unaware of a formally assigned developmental atypicality. In the ASD studies, footage ranged from 3 to 314 min in total. In one study, a total of 714 infants' vocalisations, 209 of which were from infants with ASD, were analysed (Brisson et al. 2014). More footage was available for coding in the RTT studies where the total ranged from 170 min to 40.5 h. This range does not include one study which

provided no detail on the amount of footage used for coding (Marschik et al. 2009). In the two studies focussing on FXS, a total of 224 min was available in the analysis conducted by Marschik et al. (2014b) and a total of 240 min of video clips (10 min clips for each of the 24 participants) were coded by Belardi et al. (2017).

## Method of Vocal Analysis

Within all of the 23 studies, targeted vocalisations (as indicated by each study) were analysed for the duration of the video data provided. As described above, this resulted in varying amounts and types of vocal behaviours available for analysis as the duration of video data, and the age ranges assessed, varied across the studies. For example, all pre-linguistic vocalisations such as cooing, crying, and fussing were analysed in Zappella et al. (2015). Other studies adopted more specific sampling procedures to isolate particular vocalisations for analysis. For example, vocalisations that were reported as contingent upon adult interaction were specifically analysed in two studies (Brisson et al. 2014; Maestro et al. 2001), and (pre-)linguistic vocalisations that matched the forms and functions outlined in the Inventory of Potential Communicative Acts (IPCA: Sigafos et al. 2000) were analysed in four studies (Bartl-Pokorny et al. 2013; Marschik et al. 2012b, 2013b, 2014b). In the remaining studies, the observation of complex vocalisations such as canonical syllables, canonical babbling, proto-words, and first and second word production was assessed (Belardi et al. 2017; Chericoni et al. 2016; Eriksson and de Chateau 1992; Maestro et al. 2002; Mars et al. 1998; Marschik et al. 2009, 2012a, 2013a, 2014a; Osterling et al. 2002; Patten et al. 2014; Pokorny et al. 2016; Werner and Dawson 2005; Werner et al. 2000).

## Vocalisations in Children Later Diagnosed with ASD, RTT, or FXS

**ASD** Within the 13 ASD studies, a large range of vocal behaviours were analysed (see Table 1). Specifically, vocalising explicitly labelled as intentional was assessed in three studies (Chericoni et al. 2016; Mars et al. 1998; Werner and Dawson 2005), all present pre-linguistic vocalisations were coded in the study conducted by Zappella et al. (2015), and vocal imitation was coded for in three studies (Mars et al. 1998; Maestro et al. 2001; Receveur et al. 2005). Vocal complexity was assessed in terms of either simple or complex babbling in Werner and Dawson (2005) and was distinguished between either non-reduplicated babbling or two-syllable babbling in Chericoni et al. (2016). Canonical syllables and babbling were specifically analysed in Patten et al. (2014) with only singular canonical syllables and vowel sounds analysed in one study (Werner et al. 2000). Word production was analysed in five

studies where two studies specifically isolated the occurrence of one-word utterances and word combinations (Mars et al. 1998; Werner and Dawson 2005), and one study analysed the occurrence of first words only (Chericoni et al. 2016). One study (Brisson et al. 2014) analysed the prosodic production of infants during a vocal interaction with their mothers. Results could be categorised across specific age ranges, for example:

**0–6 months** Evidence of atypical vocalisations became apparent during this time frame, particularly for spontaneous vocalising including unspecific vocalisations, atypical cooing sounds (Zappella et al. 2015), the dominant use of simple vocal inflection contours (Brisson et al. 2014), and lower instances of person directed vocalisations (Maestro et al. 2002, 2005). Additionally, lower frequencies of overall vocalisations in comparison to controls were observed (Chericoni et al. 2016).

**6–12 months** Significant differences were documented, in comparison to controls, in intentional vocalising including: person-directed vocalising (Maestro et al. 2005) and contingent vocalisations (Werner et al. 2000), as well as decreases in total vocalisations from 6 to 12 months old, as reported in one study (Chericoni et al. 2016). Frequencies of vowel sounds and simple and complex babbling were observed less often in the ASD children compared to the control participants in Werner and Dawson (2005), and children with ASD also demonstrated significantly lower frequencies of canonical syllables, babbling, and volubility in comparison to control participants (Patten et al. 2014; Werner et al. 2000).

**12–24 months** A salient feature of this age range was the significant differences in the complexity of vocalisations produced in comparison to the control participants. Specifically, the presence of first words (Chericoni et al. 2016; Mars et al. 1998; Werner and Dawson 2005) and word combinations (Mars et al. 1998; Werner and Dawson 2005) was significantly lacking in the ASD group by 24 months. Additionally, deficits were present in vocal imitation (Mars et al. 1998), ‘semantically meaningful’ vocalisations (Maestro et al. 2001), and the frequency of complex babble (Werner and Dawson 2005). Several studies identified the decrease in frequency, or regression of, vocalising and complex babble or babbling with reduplicated syllables from approximately the end of the first year until the end of the second year of life in children with ASD (Chericoni et al. 2016; Eriksson and de Chateau 1992).

**RTT** A broad range of vocal behaviours was also analysed in the RTT studies (see Table 2). These studies focused on communication and emotional vocalisations during the period prior to 24 months old. Vocalisations were divided into atypical, (pre-)linguistic, and non-linguistic vocal behaviours. For



**Table 2** An overview of studies analysing the early vocal behaviours of infants later diagnosed with Rett syndrome

Authors	Participants (M/F)	Control group (M/F)	Age range (in months)	Behaviours analysed	Results
Marschik et al. (2009)	PSV-RTT = 1 (0/1)	—	0–24	Vocalisations Vocalisation atypicalities Babbling Word production	<ul style="list-style-type: none"> <li>At 6 months old, repetitive unmodulated vocalisations were present. Inspiratory vocalisations were observed. First words occurred around the first birthday; word combinations were not observed before regression</li> <li>Instances of normal babbling were observed interspersed with atypical babbling</li> <li>All vocalisations were double coded, <math>k = 0.91</math></li> </ul>
Marschik et al. (2012a)	PSV-RTT = 6 (0/6)	—	T1 = 7–8 T2 = 9–10 T3 = 11–12 T4 = 13–24	Canonical babbling Volubility Vocalisation atypicalities Proto-word combinations Lexical diversity	<ul style="list-style-type: none"> <li>Presence of atypical vocalisations in all participants from 13 to 24 months old</li> <li>4/6 females demonstrated canonical syllables and produced proto-words, 1 participant developed proto-word combinations, complex consonant-vowel clusters were rarely seen</li> <li>Volubility (total) increased from 7 to 12 months, then decreased from 13 to 24 months</li> <li>Vocalisations scored by 3 observers, Fleiss' coefficient = 0.92</li> </ul>
Marschik et al. (2012b)	PSV-RTT = 5 (0/5)	—	12–24	Non-linguistic and (pre-) linguistic vocalisations used for communicative purposes <sup>a</sup>	<ul style="list-style-type: none"> <li>Non-verbal behaviours dominated over verbal behaviours for most communicative purposes</li> <li>All vocalisations double coded, <math>k = 0.86</math></li> </ul>
Marschik et al. (2013a)	Typical RTT = 10 (0/10) PSV-RTT = 5 (0/5)	—	0–24	Cooing Babbling (Proto-) words Word combinations Vocalisation atypicalities	<ul style="list-style-type: none"> <li>12/15 participants demonstrated cooing, 9 participants demonstrated canonical babbling, proto-words were observed in 3 of the typical RTT group and 3 of the PSV group, 1 PSV-RTT participant demonstrated word combinations. The more complex the vocalisation, the fewer participants presented it</li> <li>Cooing and babbling were frequently observed to be atypical</li> <li>Vocalisations scored by four observers, agreement reported as 0.97</li> </ul>
Marschik et al. (2013b)	Typical RTT = 1 (0/1) PSV-RTT = 1 (0/1)	1 (0/1)	T1 = 9–12 T2 = 13–18 T3 = 19–24	Non-linguistic and (pre-) linguistic vocalisations used for communicative purposes <sup>a</sup>	<ul style="list-style-type: none"> <li>Repertoire of pre-linguistic vocalisations greater in TD child &gt; female with PSV &gt; female with typical RTT (where no pre-linguistic vocalisations were observed)</li> <li>All vocalisations double coded, disagreements (7%) discussed</li> </ul>
Bartl-Pokorny et al. (2013)	Typical RTT = 6 (0/6)	—	9–12	Non-linguistic and (pre-) linguistic vocalisations used for communicative purposes <sup>a</sup>	<ul style="list-style-type: none"> <li>Non-linguistic vocalisations were used to gain attention and answer, also to request an object, reject, and to respond in the social convention; pre-linguistic vocalisations for communicative purposes were not observed</li> <li>All vocalisations double coded, disagreements (13%) discussed</li> </ul>
Marschik et al. (2014a)	PSV-RTT = 1 (0/1)	—	0–24	Babbling Volubility Vocalisation atypicalities (Proto-)words Word combinations	<ul style="list-style-type: none"> <li>Typical babbling (appeared at 7 months) was interspersed with atypical vocalisations</li> <li>First words produced around 12 months; mental lexicon of 12 proto-words; word combinations produced around 21 months. Echolalia was also observed</li> <li>Quantitative and qualitative analysis demonstrated reduced volubility and complexity of vocalisations</li> <li>All vocalisations double coded, disagreements discussed</li> </ul>
Pokorny et al. (2016)	4 (0/4)	4 (0/4)	6–12	Acoustic (signal level) characteristics of pre-linguistic vocalisations	<ul style="list-style-type: none"> <li>An unweighted average recall of 76.5% was achieved for automatically identifying the RTT group vs. the TD group</li> <li>Disagreements discussed</li> </ul>

<sup>a</sup> Unspecified vocalisations, pleasure vocalisations, laughing, crying, fussing, canonical and variegated babbling, onomatopoeics, (proto-)words, word combinations

ICC intra-class coefficients,  $k$  kappa coefficient

**Table 3** An overview of studies analysing the early vocal behaviours of infants later diagnosed with fragile X syndrome

Authors	Participants (M/F)	Control group (M/F)	Age range (in months)	Behaviours analysed	Results
Marschik et al. (2014b)	7 (5/2)	–	9–12	Non-linguistic and (pre-) linguistic vocalisations used for communicative purposes <sup>a</sup>	<ul style="list-style-type: none"> <li>• 5 participants engaged in unspecified vocalisations; 2 participants used babbling for communicative purposes; non-verbal behaviours dominated over pre-linguistic forms of communication. (Proto-)words were not observed</li> <li>• Disagreements discussed</li> </ul>
Belardi et al. (2017)	10 (9/1)	14 (11/3)	9–12	Canonical syllables Canonical babbling Canonical babbling ratio Volubility	<ul style="list-style-type: none"> <li>• FXS participants were significantly less likely to reach canonical babbling stage by 9–12 months than TD group, participants with FXS produced significantly lower canonical babbling ratios</li> <li>• Infants with FXS demonstrated significantly lower volubility than TD infants</li> <li>• 20% of all data double coded, ICC ranged from 0.89 to 0.94</li> </ul>

<sup>a</sup> Unspecified vocalisations, pleasure vocalisations, laughing, crying, fussing, canonical and variegated babbling, onomatopoeics, (proto-)words, word combinations

ICC intra-class coefficients,  $k$  kappa coefficient

example, in Marschik et al. (2012a), atypical vocalisations were categorised as inspiratory vocalisations, pressed or strained vocalisations, and high-pitched crying-like vocalisations. (Pre-)linguistic vocalisations included vowel sounds, consonant-vowel (CV) combinations, canonical syllables, canonical babbling, proto-words, and complex CVC+ babbling. Non-linguistic vocalisations included laughter, fussing, or crying (Bartl-Pokorny et al. 2013; Marschik et al. 2012a, 2013b). Across all of the eight RTT studies, atypicalities in vocalisations were observed during the pre-regression period. Specifically, high frequencies of atypical and non-linguistic vocalisations with reduced demonstrations of (pre-)linguistic behaviours were identified (Bartl-Pokorny et al. 2013; Marschik et al. 2012b, 2013b), and the presence of atypical vocalisations interspersed with typical babbling and vocalising was observed (Marschik et al. 2009, 2012a, 2014a). Although the milestone of babbling was reached by some of the girls with RTT (Marschik et al. 2012a, b, 2013a), frequent abnormalities in the quality of the vocalisations were often reported (Marschik et al. 2012a, 2013a). Proto-words and first words were analysed in five studies (Marschik et al. 2009, 2012a, b, 2013a, 2014a). Proto-words were demonstrated by fewer girls with classic RTT compared with PSV-RTT. Three girls with PSV-RTT demonstrated first words, all at around 12 months of age (Marschik et al. 2009, 2013a, 2014a).

The IPCA (Sigafos et al. 2000) was used to code for the repertoire of verbal and non-verbal behaviours for communicative purposes in three studies (Bartl-Pokorny et al. 2013; Marschik et al. 2012b, 2013b). Particular results from these studies reported that complex forms of vocalising, such as canonical babbling, were limited in participants later diagnosed with PSV-RTT and very rarely observed in girls with

classic RTT (Bartl-Pokorny et al. 2013; Marschik et al. 2012b, 2013b). Three studies reported restricted communicative repertoires (Bartl-Pokorny et al. 2013; Marschik et al. 2012b, 2013b) and shared the common feature of the total lack of behaviours indicating a request for information or to make a choice (Bartl-Pokorny et al. 2013; Marschik et al. 2012b).

Females who were later diagnosed with PSV-RTT demonstrated some differences in comparison to those later diagnosed with classic RTT, for example (a) vocalisation development in girls with PSV more closely resembled that observed in the typically developing controls (Marschik et al. 2013b), (b) girls with PSV demonstrated a wider range of communicative forms and functions on the IPCA than girls with classic RTT (Bartl-Pokorny et al. 2013; Marschik et al. 2013b), and (c) girls with PSV were more likely than girls with classic RTT to reach the canonical babbling and/or produce first words during the second year of life (Bartl-Pokorny et al. 2013; Marschik et al. 2013b).

**FXS** Results from the two studies analysing infants later diagnosed with FXS demonstrated atypicalities in early vocalisations, similar to those observed in the ASD and RTT groups (see Table 3). Specifically, from 9 to 12 months old, these individuals engaged in high frequencies of unspecified (not transcribed in detail) babbling (Marschik et al. 2014b), were less likely than controls to reach the canonical babbling stage by 12 months old, and produced significantly lower canonical babbling ratios and lower volubility than controls (Belardi et al. 2017; Marschik et al. 2014b). Similarly to the females later diagnosed with RTT and PSV-RTT, infants in the FXS group displayed limited repertoires of communicative functions and relied upon non-linguistic forms of

communication, as identified by the IPCA (Marschik et al. 2014b). Word production was not observed in either of the FXS studies.

## Discussion

The aim of this overview was to evaluate early vocal behaviours present in the first 2 years of life for individuals later diagnosed with ASD, RTT, or FXS. This overview sought to answer two questions: the first regarding whether RVA is an appropriate tool to map the developmental progression, or regression, of vocal development in children with ASD, RTT, or FXS and secondly whether the vocal development of children later diagnosed with these disorders is able to be compared when using RVA as an analysis tool. In regards to the first question, this overview adds to the growing opinion that atypicalities are apparent in the early development of children with ASD, RTT, and FXS and that differences can be (at least partly) identified early in life using RVA (e.g. Dawson and Bernier 2013; Marschik et al. 2017; Zwaigenbaum et al. 2013).

It remains difficult, however, to extract direct comparisons from the literature regarding vocal development for these children as (a) there were more studies with a larger overall sample size of children later diagnosed with ASD, compared with RTT and FXS, (b) the studies included a wide variation in the age ranges assessed and types of vocalisations analysed, and (c) the focus of each study was variable, leading to different analyses and particular data reported for specific vocal behaviours. However, across all three groups overall, children demonstrated reduced frequencies or rates of vocal production compared with typically developing controls and those who were later diagnosed with ASD, RTT, or FXS were less likely to progress to more complex forms of vocalisations, such as canonical babbling and variegated babbling, compared to typically developing controls (Belardi et al. 2017; Brisson et al. 2014; Chericoni et al. 2016; Marschik et al. 2014a; Patten et al. 2014).

Specifically, the results of this paper were segmented in terms of vocalisation presentation, the development of more complex vocalisations, and the frequency/rate of vocalisations. Apparent similarities in the production of more complex vocalisations across the three disorders include (a) the reduced likelihood that infants with either ASD, RTT, or FXS would reach the canonical babbling stage, (b) if infants did reach the canonical babbling stage, there was a delay in canonical syllable production (compared to controls—as identified in several of the ASD studies and one of the FXS studies), and (c) participants included in the ASD studies were significantly less likely to produce first words or word combinations as compared to typically developing controls, whereas participants in the RTT studies rarely demonstrated

first words with almost no participants producing word combinations (Marschik et al. 2013a). Similarities in the frequency of vocal production were identified for those in the ASD and FXS groups which reported significantly lower volubility in comparison to typically developing controls (Belardi et al. 2017; Patten et al. 2014).

From the literature, it appears difficult to detect reliable and significant differences in the pre-linguistic vocalisations of very young children (Zappella et al. 2015) due to the nature and limited array of vocal behaviours able to be assessed. However, consistent and detectable atypicalities become more apparent during the second year of life (Chericoni et al. 2016; Patten et al. 2014; Werner and Dawson 2005) where a greater sample of vocalisations are available for analysis due to the increased variability, frequency, and complexity of vocal behaviours. This trend was reported in the ASD studies as well as in the RTT studies; however, the age ranges included in the FXS studies were from 9 to 12 months, thus only a limited timeframe for analysis was available. Still in Belardi et al. (2017), canonical babbling and volubility distinguished the children with FXS from typically developing controls. All three disorders, ASD, RTT, and FXS, exhibit the autistic phenotype of socio-communicative deficits, where early vocal production is infrequent and lacks complexity. Reaching the canonical babbling stage, for example, is considered critical in acquiring subsequent verbal communication proficiency (Oller et al. 1999; Stark 1980), and considering that canonical babbling typically emerges in the infant from 6 to 10 months old, the lack of, and/or the significant delays in canonical syllable production in these children later diagnosed with ASD, RTT, or FXS is one distinctive behavioural marker than can be identified during the first year of life. Early atypicalities and/or delays in vocal development appear to be critical and informative behavioural markers for identifying children with atypical development or, at the very least, who might develop some disorder that shares similarities with the autistic phenotype.

Although this manuscript includes a relatively small sample of 23 studies, it is clear from the available literature that atypicalities in the development of early vocalisations can be detected in children diagnosed with ASD, RTT, or FXS. However, this overview is limited in the ability to extract direct comparisons of the vocal development between participants within the three groups due to several reasons. Firstly, not all of the studies included control groups for comparison. As discussed in the review by Palomo et al. (2006), control groups are crucial components in analyses such as these to gain an appreciation of the typical progression of development and to form a basis from which to draw conclusions and analyse deviances. Nonetheless, a benchmark level of what is considered ‘typical presentation of development’ can be considered for descriptive analyses and the delineation of behavioural phenomena. Secondly, a wide distribution of age ranges



across the first 2 years of life was analysed in the ASD and RTT studies, with a more restricted age range analysed in the studies focusing on FXS. This led to a wider range of more complex vocal behaviours being available for analysis in those later diagnosed with ASD or RTT, such as first words and word combinations; which are typically observed from 12 months and into the second year. Within the studies, limitations in the quality of footage obtained for analysis, the duration of usable footage, and the particular contexts in which the footage was captured all limit the external validity of the data collected using RVA (Marschik and Einspieler 2011; Palomo et al. 2006). Further, this overview focused on studies that specifically analysed the vocal development of infants later diagnosed with ASD, RTT, and FXS and did not include additional studies that focused on, for example, the effect of context on behaviour (e.g. Thorsen et al. 2008), validating parental reports of development (e.g. Goldberg et al. 2008), or acoustic features of crying (e.g. Esposito and Venuti 2009). This was to ensure that vocal presentation, complex vocalisations, and the rate/frequency of vocal production were the focus, or a significant component, of the overall analysis. Lastly, a lack of homogeneity across contexts and situations means that precise and consistent conclusions are difficult to draw from the RVA literature (Palomo et al. 2006; Zwaigenbaum et al. 2013).

In spite of these limitations, this overview adds to the literature demonstrating that RVA is an appropriate tool in detecting early vocal atypicalities, be it in form or function, present in those later diagnosed with ASD, RTT, or FXS. This overview also lends further support to the argument that early detection and the potential for early diagnosis are feasible options during the first 2 years of life for children with one of these neurodevelopmental disorders (Palomo et al. 2006; Oller et al. 1999; Zwaigenbaum et al. 2013). However, further research into the detailed analysis of vocal characteristics, i.e. objective (rater independent) analysis on signal level (Marschik et al. 2017), and a more precise understanding of vocal developmental trajectories is required. Still, the results from these studies taken together with additional behavioural studies (Baranek 1999), social communication skills (Clifford and Dissanayake 2008), and prospective studies (e.g. Charman et al. 2017; Loth et al. 2017; Ozonoff et al. 2011; Paul et al. 2011) strengthen the argument that early identification of RTT, FXS, and for ASD in particular is robust. It must be said that RVA as a tool for analysing particular behavioural markers is indispensable for a range of neurodevelopmental disorders, especially in those with rarer cases or non-hereditary pathways where prospective studies are not always able to be implemented.

Future prospects for the earlier detection of disorders, which are currently recognised during late into toddlerhood, might adopt more advanced measures by which to reliably and accurately compare and contrast the development of early

vocalisations. The use of intelligent audio analysis circumvents some of the major limitations impacting the reliability of RVA (Marschik et al. 2017; Pokorný et al. 2016), providing an audio-only analysis on an acoustic signal level where small fragments of audio data (vocalisations or parts of vocalisations) can be automatically analysed. The application of this method could help to reliably differentiate between typical development and various conditions, or developmental disorders, based on specific bio-behavioural parameters.

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